



COLORADO
Department of Transportation
 Division of Transit & Rail

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DATE: March 12, 2021
TO: Transit & Rail Advisory Committee
FROM: Kay Kelly, Chief, Office of Innovative Mobility
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SUBJECT: Transit Grants Quarterly Report

Purpose

The memo provides the TRAC a quarterly update on the Transit Grants Program, with data reflective of the quarter ending December 31, 2020.

Action

For information only. No action needed.

Background

Policy Directive 704 states that the T&I Committee shall review quarterly reports submitted by DTR which contain the expenditures and status of all FASTER funded projects and the reconciliation of FASTER funding. FTA Circular 5010.1E requires that CDOT, as a recipient of FTA funds, provide Federal Financial Reports (FFR's) and Milestone/Progress Reports (MPR's). This information is assembled by members of the Division of Transit & Rail (DTR), the Business Office within the Division of Accounting and Finance (DAF), and the Office of Financial Management & Budget (OFMB). This same information is being shared with TRAC as well.

Details

Table 1 provides a summary of all projects and the status of contracting and spending, by year of funding. DTR lost staff so the data ending 9/30/2020 are repeated for now in Table 1, until staffing allows updates to these data.

Table 1: Financial Trends from Budget to Expenditure

Funding Source & Year	Annual Budget	Total Available Including Roll-Over	% Contracted Last Qtr 6/30/2020	% Spent Last Qtr 6/30/2020	% Contracted This Qtr Ending 9/30/2020	% Spent This Qtr Ending 9/30/2020
FASTER SFY 2015-16	\$15 M	\$20.7 M	100%	96%	100%	96%
FASTER SFY 2016-17	\$15 M	\$17 M	100%	97%	100%	97%
FASTER SFY 2017-18	\$15 M	\$17 M	84%	83%	84%	83%
FASTER SFY 2018-19	\$15 M	\$18.5 M	100%	70%	100%	70%
FTA FFY 2015-16	\$17.2 M	\$17.9 M	100%	100%	100%	100%
FTA FFY 2016-17	\$18.1 M	\$18.9 M	100%	98%	100%	98%
FTA FFY 2017-18	\$20.7 M	\$22.6 M	100%	87%	100%	87%
FTA FFY 2018-19	\$21.9 M	\$25.1 M	100%	71%	100%	71%

Notes:

Budgeted and Total Available Amounts here do not include local matching dollars.
 SFY = State Fiscal Year July 1 - June 30, FFY = Federal Fiscal Year October 1 - September 30.

Project Assistance / Lessons Learned

PD 704 asks DTR to regularly identify projects that are experiencing significant changes to scope, schedule, or budget. Once identified, DTR staff then can apply more project management controls, offer more technical assistance, or it can serve as an advance notice to the TRAC and T&I Committee that some projects may be subject to PD 703's rules regarding budget changes. Table 2 presents the highlights for relevant projects and agencies, updated through December 31, 2021.

Table 2: Projects Experiencing Significant Changes		
Project	Change being Experienced	Description / Response
Community Services and Supports - SB 228 2020 - \$67,856	Community Services and Supports has ordered the vehicle under this agreement, but the vehicle is not expected until mid-2021	The agreement was extended for an additional year, and \$4,285 were added to cover the model-year price increase.
Trinidad Multimodal Station - FASTER Funds 2011 - FASTER Funds 2013 - \$330,920	The project was withdrawn from the City of Trinidad in Oct. 2016. A scaled-down passenger shelter will be completed with Amtrak to close the mitigation obligations incurred by CDOT when I-25 was reconstructed. The cost is expected to be significantly less than the original version.	CDOT has agreed upon payout terms. Amtrak is updating cost estimates for cost escalation that may have occurred during the COVID Pandemic. This project appears headed toward final contracting and closure at this time.

Transit Grant Contracting & Invoicing Performance

Table 3 below summarizes the year-over-year progress, showing significant, sustained improvement. Figure 1 provides graphic representation of the timely contracts goal. The dashed line (empty markers) is the target trend line. The solid line (solid markers) is 2020 progress from January 1 through December 31, 2020. The 2020 progress stands at 361 of the forecast 384 contracts to be delivered for the calendar year.

Next Steps

The next quarterly report will be available for the May 2021 meeting, reporting on the period ending March 31, 2021

Attachments:

None

Table 3: Summary of Grant Contracting & Invoicing Performance	
Goal Area	Results
Timely Contracts	Normal Year: 175 to 200 Grant Agreements, Contracts, & Extensions 2015 Goal: 210 contracts & extensions by Thanksgiving. Met goal. Completed 228 total. 2016 Goal: 235 cont. & ext. 4 wks earlier than 2015. Achieved 2 wks earlier, 246 total. 2017 Goal: 185 contracts & extensions estimated, 224 actual for calendar year 2017. 2018 Goal: 198 contracts & extensions estimated, 290 actual for calendar year 2018. 2019 Goal: 225 contracts & extensions estimated, 294 actual for calendar year 2019. 2020 Goal: 290 contracts & extensions forecast originally, revised forecast of 384 including CARES Act/Pandemic funds; 361 actual for calendar year 2020. 2021 Goal: 400 contracts & extensions forecast during calendar 2021.
Timely Payments (Average Days ≤ 30 Days)	45 days to payment, average for SFY July 1 2013 - Jun 30 2014 35 days to payment, average for SFY July 1 2014 - Jun 30 2015 29 days to payment, average for SFY July 1 2015 - Jun 30 2016 26 days to payment, average for SFY July 1 2016 - Jun 30 2017 25 days to payment, average for SFY July 1 2017 - Jun 30, 2018 32 days to payment, average for SFY July 1 2018 - Jun 30, 2019 35 days to payment, average for SFY July 1 2019 - Jun 30, 2020 32 days to payment, average for year-to-date July 1 2020 - December 31 2021
No Statutory Violations	12 Statutory Violations occurred in 2014 (6 of 12 were inherited from fund transfer) 2 Statutory Violations in calendar 2015 2 Statutory Violations in calendar 2016 1 Statutory Violation in calendar 2017 (June 2017; 14 mo trend without a S.V.) 1 Statutory Violations in calendar 2018 (July 2018; 12 mo trend without a S.V.) 1 Statutory Violation in calendar 2019 (March 2019; 7 mo trend without a S.V.) 0 Statutory Violations in calendar 2020 (22 mo trend without a S.V.)

Figure 1: Timely Contracts Tracking, Goal vs. Actual for Calendar Year 2020

